

DT05 Rec'd PCT/PTO

PTO/SB/21 (08-00)

type a plus sign (+) inside this box  $\rightarrow$  + Approved for use through 10/31/02. OMB 0651-0301 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/194,164 **Application Number** 

IRANSIVIIIIAL			Filing Date	April 9, 1999	HECEIVED		
FORM			First Named Inventor	Michael D. DAN	SEP 0 9 2002		
			Group Art Unit	1642	TECH CENTED 4000/000		
(to be used for all corres	spondence after initial t	filing)	Examiner Name	G. Bansal	TEON CENTER 1600/290		
Total Number Of Pages In Th	is Submission	6	Attorney Docket No.	316082000121	7		
	EI	NCLOS	URES (check all that	apply)			
Fee Transmittal For	m		signment Papers an Application)		After Allowance Communication to Group		
Fee Attached	d .	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Pet	ition		Proprietary Information		
Affidavits/de	clarations		ition to Convert to a visional Application		Status Letter		
Extension of Time R	☐ Po			dress	Other Enclosure(s) (please identify below):		
Express Abandonme	Express Abandonment Request Rec				Second Request for a Corrected Filing Receipt (2 pages) Copy of Marked Up Filing Receipt (1 page) Copy of Response to Request for Corrected Filing Receipt (2 pages)		
Information Disclosu	ure Statement	CD	, Number of CD(s)	(X)	Return receipt postcard		
Certified Copy of Priority Document(s) Remarks							
Response to Missing Parts/ Incomplete Application			<del></del>		7		
Response to Missing Parts under 37 CFR 1.52 or 1.53					·		
	SIGNATU	RE OF	APPLICANT, ATTORN	IEY OR AGE	NT		
			e Mill Road, Palo Alto, California				
or Cara M. Coburn, Reg. No.		lo. 46,631					
individual Name		<i>*</i>	m				
Date April 1, 2002							
	<del></del>						

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 1, 2002.

Martina

Martina Placid

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PATENT
Docket No. 316082000121
Client Reference No. IN-013-IS-1A14

#### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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SEP 0.9 Juna

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICEECH CENTER 1600/2900

In the application of:

Michael D. DAN et al.

Serial No.: 09/194,164

Filing Date: April 9, 1999

For: ANTIGEN BINDING FRAGMENTS

THAT SPECIFICALLY DETECT CANCER CELLS, NUCLEOTIDES ENCODING THE FRAGMENTS, AND

USE THEREOF FOR THE

PROPHYLAXIS AND DETECTION OF

**CANCERS** 

Examiner: G. Bansal

Group Art Unit: 1642

### SECOND REQUEST FOR A CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Response to Request for Corrected Filing Receipt mailed March 13, 2002, please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

Continuing Data as Claimed by Applicant

After "THIS APPLN IS A 371 OF PCT/US97/08962 05/22/97"

Please insert --WHICH IS AN INTERNATIONAL APPLICATION OF 08/657,449 05/22/96 ABN--

A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952 (referencing Docket No. 316082000121)</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: April 1, 2002

By

Cara M. Coburn

Registration No. 46,631

for

Gladys H. Monroy Registration No. 32,430

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-4218 Facsimile: (650) 494-0792

PTO-103X (Rev. 8-95)

FILING RECEIPT





# UNITED STATES SARTMENT OF COMMERCE PATENT AND TRADEMARKS OF PATENTS AND TRADEMARKS Washingt in, D.C. 20231

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1	APPLICATION NUMBER	PRING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
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	09/194,164	04/09/99	1633	\$1,952.00	316082000121	20	50	7
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SUSAN K LÉHNHARDT MORRISON & FOERSTER 1290 AVENUE OF THE AMERICAS NEW YORK NY 10104-0012 **RECEIVED** 

SEP 0 9 2002

TECH CENTER 1600/2900

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. It an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MICHAEL D. DAN, SCARSBOROUGH, CANADA; HOWARD A. KAPLAN, WINNIPEG, CANADA; PRADIP MAITI, WINNIPEG, CANADA.

CONTINUING DATA AS CLAIMED BY APPLICANTTHIS APPLN IS A 371 OF PCT/US97/08962 05/22/97
WHICH IS AN INTERNATIONAL APPLICATION OF 08/657,449 05/22/96 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/12/99
TITLE
ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER CELLS,
NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE
PROPHYLAXIS AND DETECTION OF CANCERS

PRELIMINARY CLASS: 435

DATA ENTRY BY: ORDONEZ, MARTA

TEAM: 11 DATE: 05/13/99

SEP 0 9 2002



legal representative.

### United States Patent and Trademark Office

**TECH CENTER 1600/2900** 

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231

www.uspto.gov

LICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

9/194,164

MEGENAED

MICHAEL D. DAN

316082000121

MAR 1 8 2002

Palo Alto

**CONFIRMATION NO. 2875** 

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PAGE MILL ROAD PALO ALTO, CA 94304-5711

SON AND FOERSTER for ison & Foerster, LLP

Date Mailed: 03/13/2002

### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request

cause:	
	The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
	The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration obasic filing fee after the application filing date.
	The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
	The docket number allows a maximum of 25 characters.
×	Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional).
	Foreign priority will appear on the Filing Receipt in the following order:  Country, Application number, Filing date.

The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the

Only one character per space is allowed. Therefore, punctuation which is usually placed above or

Any corrections that may need to be done to your Filing Receipt should be directed to:

below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

DOCKETELL

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

### A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE